

# Fluids in Flatland - A Short Introduction

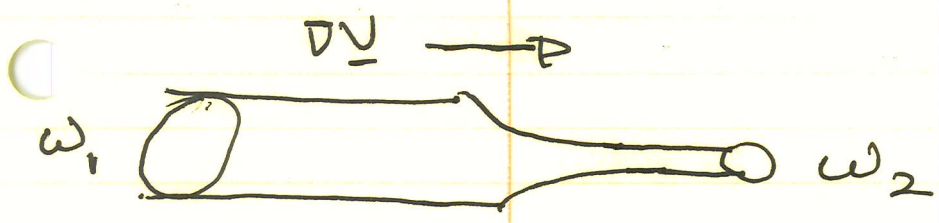
~ apologies to Edwin Abbott

→ A Quick Look: (Sneak Preview) (i.e. Why Notable)

- what physical process underpins K41 cascade, etc.
  - vortex tube stretching

i.e.  $\partial_t \underline{\omega} = \nabla \times (\underline{v} \times \underline{\omega}) + \nu \nabla^2 \underline{\omega}$

$$\partial_t \underline{\omega} + \underline{v} \cdot \nabla \underline{\omega} - \nu \nabla^2 \underline{\omega} = \underline{\omega} \cdot \nabla \underline{v}$$



-  $2\nu \underline{\omega} \cdot \nabla \underline{v} = 0$  ( $\nabla \cdot \underline{v} = 0$ )

$\partial_t \underline{\omega} + \underline{v} \cdot \nabla \underline{\omega} = \nu \nabla^2 \underline{\omega} + \underline{f}_{ext}$   
 $\partial_t \nabla^2 \phi + \nabla \phi \times \hat{z} \cdot \nabla \nabla^2 \phi = \nu \nabla^2 \nabla^2 \phi + \nabla^2 \underline{f}_{ext}$

two inviscid quadratic invariants:

$$\int \frac{v^2}{2} \rightarrow \text{energy}$$

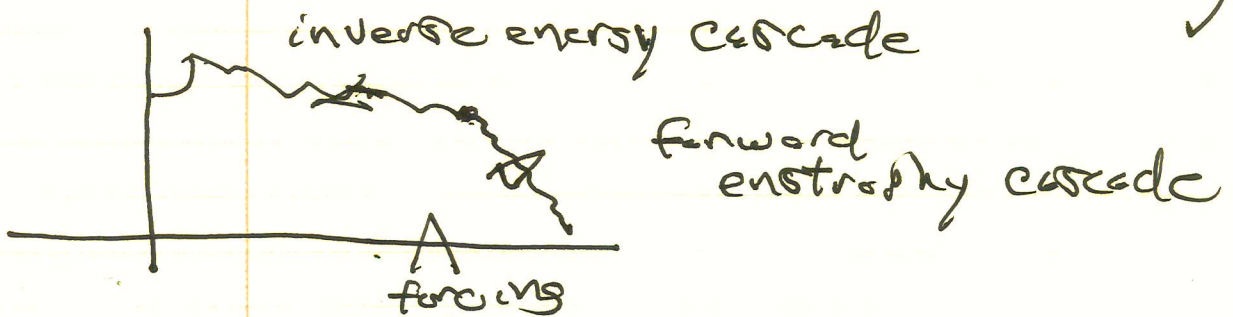
$$\int \frac{\omega^2}{2} \rightarrow \text{enstrophy (} \sim \text{mean square vorticity)}$$

Refs:

- R. Salmon : Notes on GFD
  - G. Vallis : Atmospheric and Oceanic Fluids
  - + Posted Notes from GFD Module
  - + Posted References (both Module & Lectures)
- N. B. Boffetta and Ecke review especially recommended.

Key element in 2D turbulence is constraint imposed on dynamics by dual conservation law.

Upshot: Dual Cascade (Krishnan '67)



dual self-similarity ranges,

→ and ~~drag~~ 
$$\partial_t \omega + \underline{u} \cdot \nabla \omega + \ell \omega = \nu \nabla^2 \omega + f$$

Why 2D? → Constrained Dynamics,

- Recall Taylor-Proudman Theorem

→ in rotating fluid,  $(\underline{\omega} + 2\underline{\Omega})/\rho$  is 'frozen in'.

→  $\Omega \gg$  other rates

$$2\Omega \partial_z \underline{v} \approx 0$$

⇒ ~2D dynamics

Immediately realize that

2D dynamics  $\Leftrightarrow$

characteristic of  
~ 2D dynamics

$R_o = v/L\Omega$   
↓  
Rossby  
#

-  $(v/L) \rightarrow$  'other rates'  
i.e.  $\underline{v} \cdot \underline{\nabla} \underline{v}$  vs  $2\underline{\Omega} \times \underline{v}$   
- contrast  $Re$

$\Rightarrow$  Favors slow, large scale motion in (thin) rotating system  
i.e. atmosphere, ocean, etc.

Ways to 2D-ize:

- rotation,  $R_o = v/L\Omega$

- stable stratification

#  $\sim v/LN$

$$N^2 = g \frac{\partial \rho}{\partial z}$$

- strong magnetic field

$R_o \rightarrow v/L \Omega_c$

$\Rightarrow$  Hasegawa-Mima model

↓  
cyclotron frequency

Low Ro dynamics

Given  $Ro \ll 1$ , have fundamental relation between pressure and vorticity  
 → includes centrifugal

$$\frac{d\underline{v}}{dt} = -\underline{\nabla} \left( \frac{p^*}{\rho} \right) - 2\underline{\Omega} \times \underline{v}$$

$Ro \ll 1 \Rightarrow$  Geostrophic balance

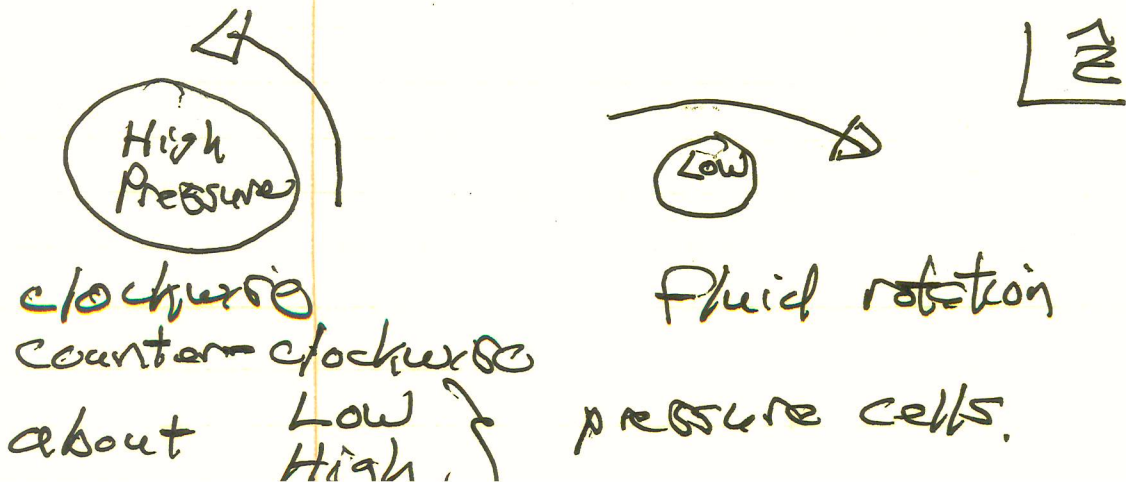
$$0 \approx -\underline{\nabla} \left( \frac{p^*}{\rho} \right) - 2\underline{\Omega} \times \underline{v}$$

$$\Rightarrow \underline{v}_\perp = \underline{\Omega} \times \underline{\nabla} \left( \frac{p^*}{\rho} \right) / \Omega^2$$

$$\underline{v}_\perp = \frac{-\underline{\nabla} \left( \frac{p^*}{\rho} \right) \times \underline{z}}{\Omega}$$

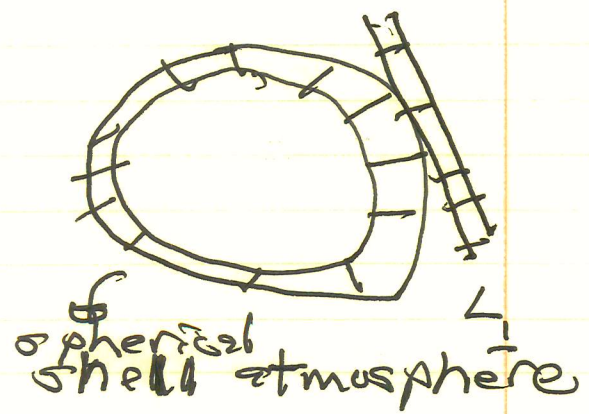
$\frac{p^*}{\rho} \Leftrightarrow \phi$

Pressure-as-stream-function:



$\beta$ -plane Model

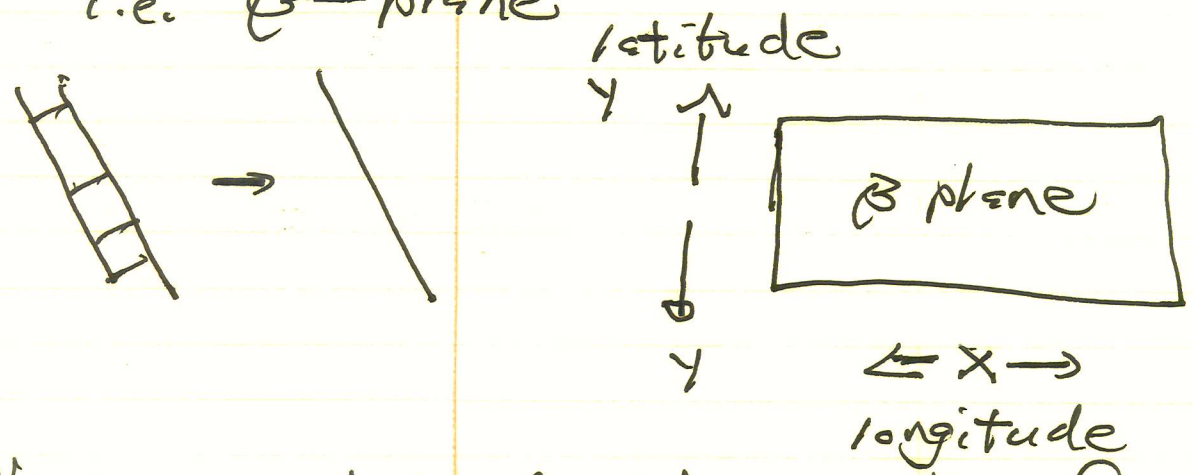
→ Quick derivation



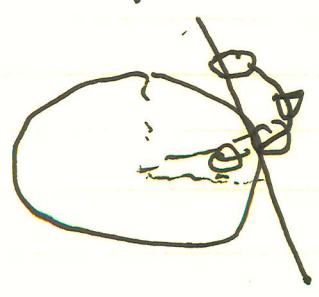
-  $\beta$  plane tangent to spherical shell atmosphere

- strong stable stratification on  $L_1$ .

so describe/approximate dynamics on 2D plane tangent to sphere i.e.  $\beta$ -plane



Now, consider displacement of fluid/vortex element:



-  $\underline{\omega} + 2\underline{\Omega}$  frozen in

-  $C = \int \underline{e}_a \cdot (\underline{\omega} + 2\underline{\Omega})$   
 circulation conserved.

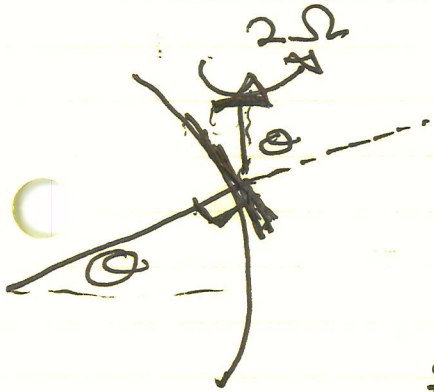
Point: displacing fluid element  
causes change in

$$\int d\mathbf{s} \cdot \underline{\Omega} \sim \hat{n} \cdot \hat{z} \sim \cos \theta$$

polar  $\angle$

there must be a change in  
fluid vorticity to conserve circulation

since planetary vorticity piece of  
circulation changed by displacement



$A \equiv$  area of vortex

$$\frac{dC}{dt} = 0$$

$$\frac{d}{dt} (A\omega + A2\Omega \sin \theta) = 0$$

projection factor

$\Rightarrow$

$$\begin{aligned} \frac{d\omega}{dt} &= -2\Omega \cos \theta \frac{d\theta}{dt} \\ &= -\frac{2\Omega}{R} \cos \theta \frac{d(R\theta)}{dt} \\ &= -\beta v_y \end{aligned}$$

$$\beta = \frac{2\Omega \cos \theta}{R}$$

$\Rightarrow$  gradient in  
Coriolis force

Of course  $\frac{d}{dt}(R\theta) = \frac{d}{dt}y = v_y$

$$\boxed{\frac{d\omega}{dt} = -\beta v_y} \quad \text{+ add dissipation, forcing}$$

Charney

$$\partial_t \omega + \underline{v} \cdot \underline{\nabla} \omega + \mu \omega = \nu \nabla^2 \omega + f$$

$$d/dt = \partial_t + \underline{v} \cdot \underline{\nabla} \quad z \perp \beta \text{ plane}$$

$$\underline{v} = -\frac{\nabla \phi}{2\Omega} \times \hat{z} \rightarrow \nabla \phi \times \hat{z}$$

$$\omega = \sigma_{\perp}^2 \phi / 2\Omega$$

$$R \rightarrow \infty, \beta \rightarrow 0$$

$$\boxed{\partial_t \nabla^2 \phi + \nabla \phi \times \hat{z} \cdot \nabla \nabla^2 \phi + \mu \nabla^2 \phi = \nu \nabla^2 \nabla^2 \phi + f}$$

2D Fluid equation.

→ simplest description of "2D Fluid"  
 ⇔ 2D turbulence (eddies)

→  $\beta$ -plane equation is next simplest ⇒ supports waves, eddies, zonal flows.



Observe:

Can re-write 2D inviscid equation as

$$\partial_t \omega_z + \{ \omega_z, H \} = 0$$

$$H = \phi$$

Conservative  
Hamiltonian evolution

Similar to Liouville or Vlasov equation:

$$\partial_t F + \{ F, H \} = 0$$

$$H = \frac{p^2}{2m} + \text{pot } \phi \quad , \quad + \text{Poisson's equation}$$

$$\partial_t F + v \partial_x F + \frac{q}{m} E \partial_v F = 0$$

i.e.  $\omega_z \leftrightarrow F \Rightarrow \begin{cases} \text{conserved (phase} \\ \text{space) density.} \end{cases}$

which brings us to:

Potential Vorticity

Observe can write equations in conservative form, i.e.

$$\frac{d}{dt} \omega = 0 \quad (\text{pure 2D})$$

$$\frac{d}{dt} (\omega + \beta y) = 0 \quad (\beta\text{-plane})$$

$\downarrow$   
 Fluid vorticity  $\rightarrow$  planetary vorticity  
 (l.o. in expansion)

$\omega + \beta y \equiv$  simple example of potential vorticity (PV)

- generalized vorticity akin to phase space density

"  
 GFD = the study of fluids with PV"  
 = "The Fluid Dynamics of PV"

More generally on PV:

- recall for rotating fluid:

$$\frac{d}{dt} \left( \frac{\omega + 2\underline{\Omega}}{\rho} \right) = \frac{(\omega + 2\underline{\Omega}) \cdot \underline{D}V}{\rho}$$

akin.

$$\frac{d}{dt} \delta \underline{l} = \delta \underline{l} \cdot \underline{D}V$$

same eqn  $\rightarrow$   $\frac{\omega + 2\underline{\Omega}}{\rho}$  frozen in.

Now, consider conserved scalar field:  $\psi$

$$\frac{d\psi}{dt} = 0$$

$$\sqrt{df^2}$$

$$\frac{d(\psi_1 - \psi_2)}{dt} = 0$$

$$d\psi = \underline{\nabla}\psi \cdot d\underline{p}$$

or

$$\frac{d}{dt} (\underline{\nabla}\psi \cdot d\underline{p}) = 0$$

and  $d\underline{p} \leftrightarrow \frac{\underline{\omega} + 2\underline{\Omega}}{c}$

so if  $\underline{\omega}$  satisfies,  $\frac{\underline{\omega} + 2\underline{\Omega}}{c}$  must satisfy

$$\Rightarrow \frac{d}{dt} \left( \frac{(\underline{\omega} + 2\underline{\Omega}) \cdot \underline{\nabla}\psi}{c} \right) = 0$$

along trajectories

↳ general statement of PV conservation

$$\mathcal{L} = \frac{\underline{\omega} + 2\underline{\Omega} \cdot \underline{\nabla}\psi}{c}$$

PV (general) any  $\underline{\nabla}\psi$

v.e.  
 - H-M:  $\rho = \rho_0 + \tilde{\rho}$   
 $\tilde{n} = (\kappa_1 \tilde{\phi} / T) n_0$   
 $\underline{D}\psi = \hat{z}$

- PV conservation  $\Leftrightarrow$  particle re-labeling symmetry  
 (i.e. particles can be re-labeled without changing thermodynamic state)

N.B. IF consider finite thickness shell

$$\mathcal{L} = \underline{D}_\perp^2 \phi + \beta \psi + \underbrace{\frac{f_0^2}{\bar{\rho}} \partial_z \left( \frac{\rho}{N^2} \partial_z \phi \right)}$$

$f_0 = 2 \Omega \sin \Theta$  - rotation

$N^2 = g / L_\rho$  - buoyancy

Relevance of finite thickness?

Scale  $\downarrow$   $\rightarrow$   $1/L_\perp^2$  vs  $\frac{f_0^2}{N^2 H^2}$   
 $\hookrightarrow$  layer thickness  
 $\downarrow$   
 (deformation radius)<sup>-2</sup>  
 $\sim 1/L_d^2$

So

$$1/L^2 \sim 1/L_d^2$$

→ relative vorticity  
and deformation  
effects contribute  
equally

{ ~ 100 km ocean  
~ 1000 km atmosphere

$L < L_d \Rightarrow \beta\text{-plane.}$

→ 2D Turbulence

- issues: conservation of energy, enstrophy
- trends in constrained spectral evolution
- self-similarity ranges, inverse cascade
- fate of energy

Issues:

- 2D turbulence is the generic problem of GFD

- $\beta \rightarrow 0$

$$\partial_t \nabla^2 \phi + \nabla \phi \times \hat{z} \cdot \nabla \nabla^2 \phi - \nu \nabla_{\perp}^2 \nabla_{\perp}^2 \phi + \mu \nabla_{\perp}^2 \phi$$

=  $\mathcal{F}$

↓  
any scale

+  
drag → scale  
invariant damping  
→ control large scale

2 inviscid invariants:

$\langle (\nabla \phi)^2 \rangle \rightarrow$  energy

$\langle (\nabla^2 \phi)^2 \rangle \rightarrow$  enstrophy

N.B.:

- in 3D, enstrophy produced:

$$\frac{d}{dt} \langle \omega^2 \rangle \sim \langle \underline{\omega} \cdot (\underline{\omega} \cdot \nabla \underline{v}) \rangle$$

$$\langle \Omega(k) \rangle \sim k^2 k^{-5/3} \sim k^{1/3}$$

- 2D,  $\underline{\omega} \cdot \nabla \underline{v} \rightarrow 0$

$\Rightarrow$  all powers  $\int d^2x \omega^n$  conserved

$\int d^2x \omega^2 \rightarrow \langle \omega^2 \rangle$  conserved on finite box

$\therefore$  story incompatible with  $k^{-4}$

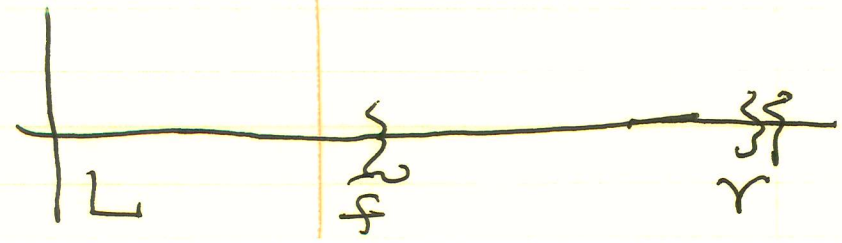
Problem of 2D Fluid:

- given forcing at any scale  $l_f$  s/t

$$L \geq l_f > l_r$$

$\Rightarrow$  how does dual conservation of  $E, \Omega$  constrain transfer?

$\Rightarrow$  inertial - similarity ranges?



Q.18:

- in 3D geometry proof:  
 $\langle \omega_2, \omega_2 \rangle = \langle \omega_1, \omega_1 \rangle$   
 $\langle \omega_2, \omega_2 \rangle = \langle \omega_1, \omega_1 \rangle$   
 $\langle \omega_2, \omega_2 \rangle = \langle \omega_1, \omega_1 \rangle$

all bases  $\langle \omega_1, \omega_2 \rangle$  are equivalent  
 finite basis  $\langle \omega_1, \omega_2 \rangle$  are equivalent

is a standard inner product with  $K^3$

Problem of 2D Fluid:

- given forces at any scale  $\tau$   
 $\tau \geq \tau_c > \tau_c$

how does shear conservation of  $E_{2D}$  conservation transfer?

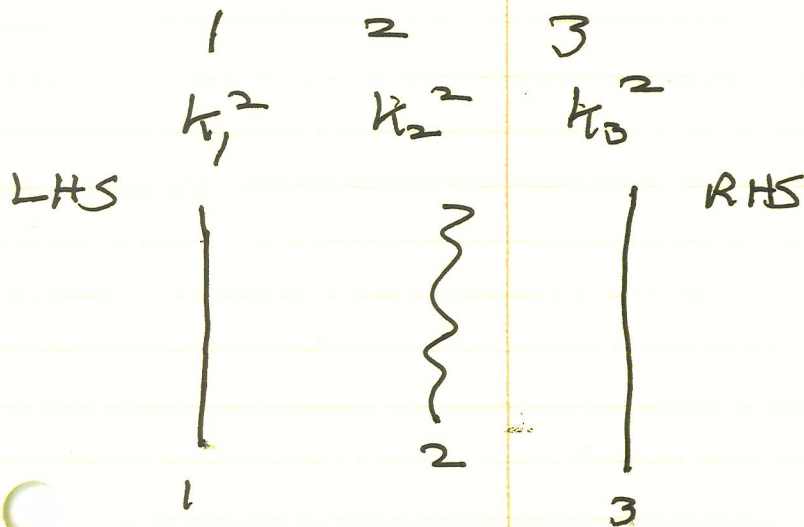
to diff - oscillatory waves?





## Theoretical "clues":

- consider 3 modes, interacting  
(3 to conserve quadratic quantity)



$$k_1^2 < k_2^2 < k_3^2$$

$$k_2^2 \leftrightarrow k_{\Phi}^2$$

Conservation:

$$E_2 = E_1 + E_3$$

$$\Omega_2 = \Omega_1 + \Omega_3$$

but  $\Omega(k) = k^2 E(k)$

$$\begin{cases} E_2 = E_1 + E_3 \\ k_2^2 E_2 = k_1^2 E_1 + k_3^2 E_3 \end{cases}$$

$$\therefore E_1 = \left( \frac{k_3^2 - k_2^2}{k_3^2 - k_1^2} \right) E_2 \rightsquigarrow E_1 \sim E_2$$

$$E_3 = \left( \frac{k_2^2 - k_1^2}{k_3^2 - k_1^2} \right) E_2 \rightsquigarrow E_3 \sim \frac{k_2^2}{k_3^2} E_2$$

(1) Theoretical "class":

consider 3 modes (E to convert static strength) (E to convert static strength)



conversion:

$$F_2 = F_1 + F_3$$

$$y_2 = y_1 + y_3$$

$$Pnt \quad T(B) = N_y E(B)$$

$$\begin{cases} F_2 = F_1 + F_3 \\ N_y F_2 = N_y F_1 + N_y F_3 \end{cases}$$

$$\therefore F_2 = F_1 \left( \frac{N_y - N_1}{N_y - N_2} \right) + F_3 \left( \frac{N_y - N_3}{N_y - N_2} \right)$$

$$\text{no } F_1 = F_2$$

$$F_2 = F_1 \left( \frac{N_y - N_1}{N_y - N_2} \right) + F_3 \left( \frac{N_y - N_3}{N_y - N_2} \right)$$

as  $\Omega(k) = k^2 E(k)$

$E_1 \sim E_2 \rightarrow$  energy transferred to large scale mode!?

$\Omega_3 \sim \Omega_2 \rightarrow$  enstrophy transferred to small scale mode!?

$\sim$  suggests: energy accumulates at large scale,  
enstrophy accumulates at small scale,

$\Rightarrow$  2 self-similar transfer ranges in 2D  
= turbulence!?

N.B. Analogy: Asymmetric Top.  
(restricted)

Conserve:  $\sum_i L_i^2 = L^2$   
 $E = \sum_i L_i^2 / 2I_i$

$$L^2 = L_1^2 + L_2^2 + L_3^2$$

$$E = \frac{L_1^2}{2I_1} + \frac{L_2^2}{2I_2} + \frac{L_3^2}{2I_3}$$

$I \propto 1/k^2$ , etc.

and energizing intermediate axis  
 $\rightarrow$  decay to  $1, 3$  etc.

$$J(N) = N^2 E(N)$$

$E_1 \sim E_2 \rightarrow$  every transfer of  
to have number of

$J_3 \sim J_2 \rightarrow$  every transfer of  
to have number of

in order: every element of  $J$  is a  
subset of elements of  $J$

$\Rightarrow$  3 different from for every  $n$   
= difference

M.B. (correctly) : Approximation  
of  $J$

$$J = \sum_{i=1}^n \frac{1}{i^2} = \frac{1}{1^2} + \frac{1}{2^2} + \dots + \frac{1}{n^2}$$

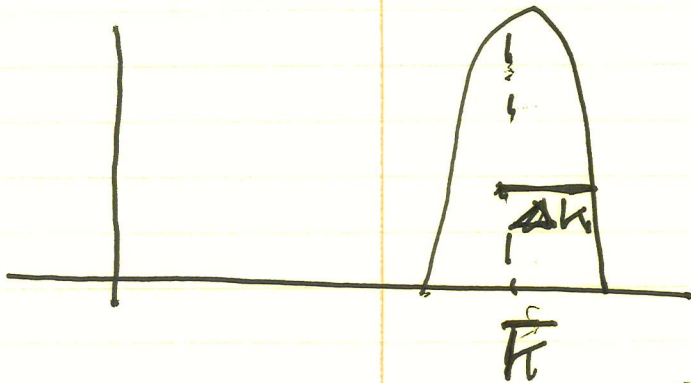
$$J = \frac{1}{1^2} + \frac{1}{2^2} + \frac{1}{3^2} + \dots + \frac{1}{n^2}$$

$$J = \frac{1}{1^2} + \frac{1}{2^2} + \frac{1}{3^2} + \dots + \frac{1}{n^2}$$

$$I = \frac{1}{K^2} \text{ etc.}$$

any element of  $J$  is a  
subset of  $J$

→ But many D.O.F.'s ...



(Rhines:  
after the fact)

Consider a spectral 'slug' of turbulence, initialized.

How will  $\bar{k}$  evolve, given  $d_t \langle (\Delta k)^2 \rangle > 0$ ?  
i.e., assume spectrum spreads ...

N.B. Does  $\langle \Delta k^2 \rangle$  exist?

$$\langle (\Delta k)^2 \rangle = \int dk (k - \bar{k})^2 E(k) / \int dk E(k)$$

$$= \int dk (k^2 - 2k\bar{k} + \bar{k}^2) E(k) / \int dk E(k)$$

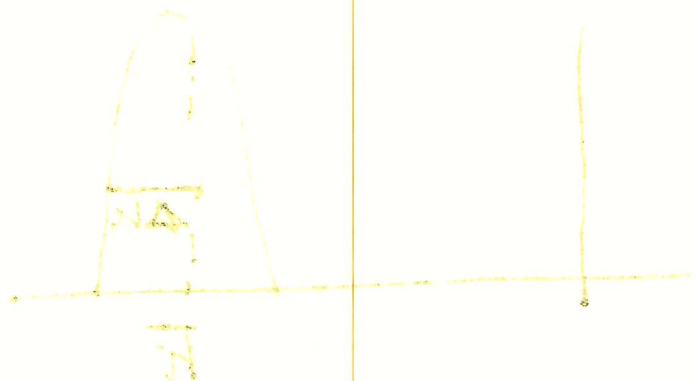
$$= \int dk (k^2 E(k) - 2k\bar{k} E(k) + \bar{k}^2 E(k)) / \int dk E(k)$$

$$= (\Omega_0 - 2\bar{k}^2 E_0 + \bar{k}^2 E_0) / E_0$$

$$= \Omega_0 / E_0 - 2\bar{k}^2$$

$$= (\Omega_0 / E_0) - \bar{k}^2$$

Q. 2 BNT may be ...



...  
...  
...

Consider a ...  
...  
...

How will  $H$  ...  
...  
...

...  
...  
...

$$\langle \Delta N^2 \rangle = \frac{1}{N} \left( \sum_{i=1}^N \Delta N_i^2 \right)$$

$$= \frac{1}{N} \left( \sum_{i=1}^N (\Delta N_i - \bar{\Delta N})^2 + N \bar{\Delta N}^2 \right)$$

$$= \frac{1}{N} \left( \sum_{i=1}^N (\Delta N_i - \bar{\Delta N})^2 + N \bar{\Delta N}^2 \right)$$

$$= \frac{1}{N} \left( \sum_{i=1}^N (\Delta N_i - \bar{\Delta N})^2 + N \bar{\Delta N}^2 \right)$$

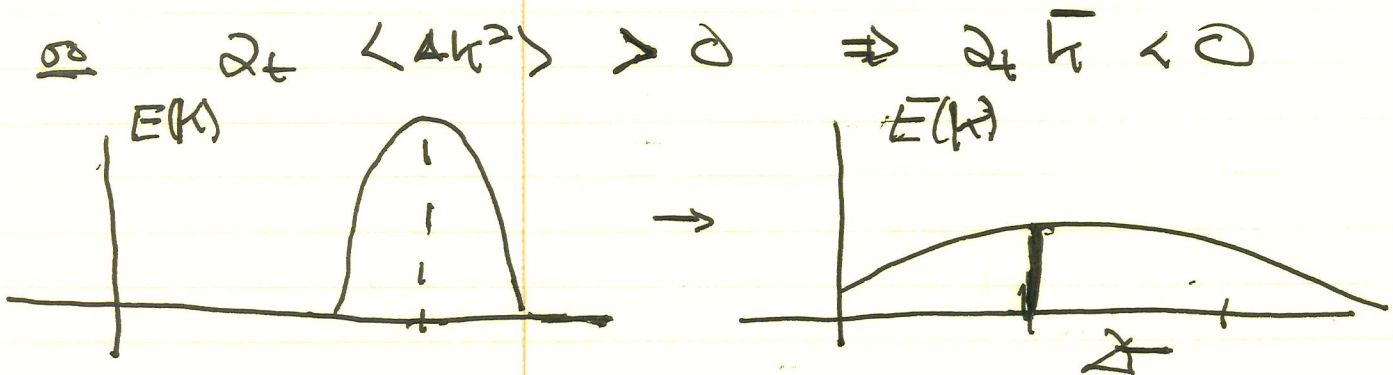
$$= \frac{1}{N} \left( \sum_{i=1}^N (\Delta N_i - \bar{\Delta N})^2 + N \bar{\Delta N}^2 \right)$$

$$= \frac{1}{N} \left( \sum_{i=1}^N (\Delta N_i - \bar{\Delta N})^2 + N \bar{\Delta N}^2 \right)$$

Here:  $\int dk E(k) = E_0 \rightarrow \text{const}$

$\int dk k^2 E(k) = \Omega_0 \rightarrow \text{const}$

$\int dk k E(k) = \bar{k} E_0 \rightarrow \text{defined centroid}$



- spectrum broadens but also shifts toward large scales

- energy content shuffled / coupled to larger scale

→ suggestive of inverse energy cascade

→ similar story for enstrophy ⇒ forward cascade!

∴ Enter the Dual Cascade!

Here:  $\int \text{the } E(x) = E_0 \rightarrow \text{const}$

$\int \text{the } E(x) = E_0 \rightarrow \text{const}$

$\int \text{the } E(x) = E_0 \rightarrow \text{const}$



to the left of the maximum point of the curve - towards the left

to the right of the maximum point of the curve - towards the right

to the left of the maximum point of the curve - towards the left

to the right of the maximum point of the curve - towards the right



Dual cascade (Kraichnan '67)  $\equiv$

From forcing, system supports 2 self-similarity ranges:

- forward enstrophy range/cascade  
( $k > k_f$ )

→ no forward energy flux

→ no energy dissipation by viscosity ( $Re \rightarrow \infty$ )

- inverse energy cascade

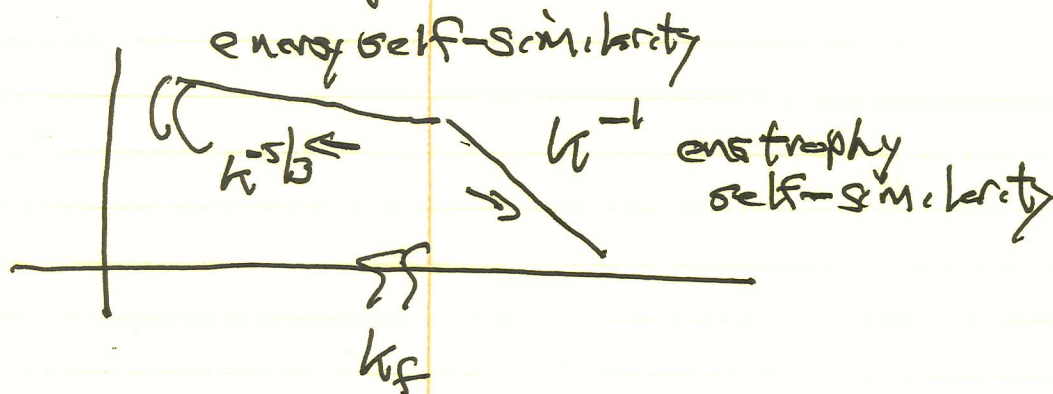
→ no inverse energy flux

→ no viscous power dissipation

→ damping by drag, etc.

→ not stationary

Cascade  $\equiv$  range self-similar transfer  
energy self-similarity



$$\eta = \frac{d}{dt} \langle \omega^2 \rangle \sim \left( \frac{v}{l_f} \right)^3$$

$$E = \frac{d}{dt} \langle v^2 \rangle \sim v_f^3 / l_f \rightarrow \text{forcing rate, not dissipation}$$

(1) Dual Goals for Classification (1)

from general system architecture  
 - similarity (high)

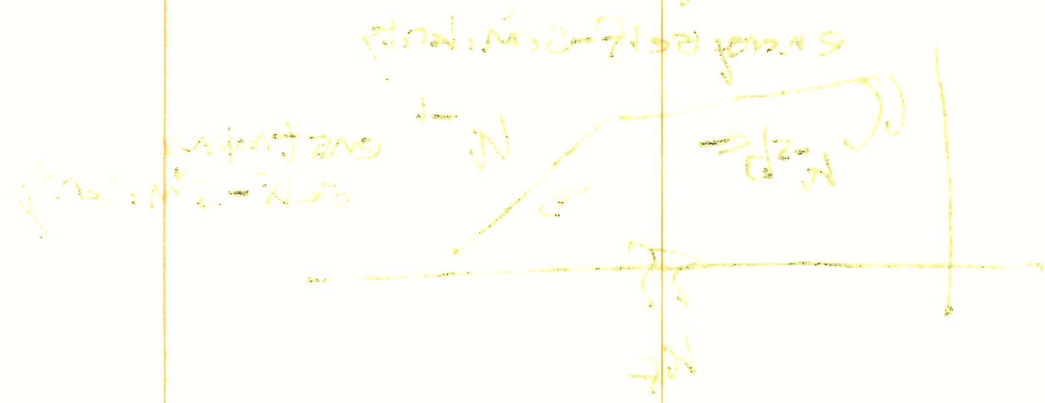
- forward search / search for classes  
 $(N > N')$

→ no forward search for  
 → no search for classes  
~~no search for classes~~

- reverse search for classes

(2)  
 → no search for classes  
 → no search for classes  
 → no search for classes  
 → no search for classes

Classification = search for classes



(3)  $\frac{d}{dt} = \frac{d}{dt} = \frac{d}{dt}$

Statistical  
 methods for

$\frac{d}{dt} = \frac{d}{dt} = \frac{d}{dt}$

of course  $k_F^2 E \sim \eta$ .

- Forward  $\Rightarrow$  Enstrophy

$$\frac{\Omega(\ell)}{\tau(\ell)} \sim \eta$$

$$\frac{u(\ell)}{\ell} \sim \tau(\ell)^{-1} \sim \omega(\ell)$$

$$\omega(\ell)^3 \sim \eta$$

$$\Omega(\ell) \sim \omega(\ell)^2$$

$$\omega^2(\ell) \sim \eta^{2/3}$$

but  $\omega^2(\ell) \sim k \Omega(k)$

$$\Rightarrow \left[ \begin{array}{l} \Omega(k) \sim \eta^{2/3} / k \\ E(k) \sim \Omega(k) / k^2 \sim \eta^{2/3} / k^3 \end{array} \right]$$

energy spectrum in enstrophy range

- no forward energy flux in enstrophy range

- observe  $1/\tau_\ell \sim \eta^{1/3} \rightarrow$  const, here

vs  $k^{-4}$

$1/\tau_\ell \sim \frac{E^{1/3}}{\ell^{2/3}} \rightarrow$  faster for smaller

(1) of course  $N \in \mathbb{R}^n$

- forward + backward

$$\begin{aligned} & \frac{1}{2} (2T - 2S) \\ & \frac{1}{2} (2T - 2S) \\ & \frac{1}{2} (2T - 2S) \end{aligned}$$

$$M \sim \frac{1}{2} (2T - 2S)$$

$$M \sim \frac{1}{2} (2T - 2S)$$

$$M \sim \frac{1}{2} (2T - 2S)$$

(not  $N \in \mathbb{R}^n$ )

$$\begin{aligned} & \frac{1}{2} (2T - 2S) \\ & \frac{1}{2} (2T - 2S) \\ & \frac{1}{2} (2T - 2S) \end{aligned}$$

smaller operations in calculating matrix

- no forward or backward

$$\frac{1}{2} (2T - 2S)$$

operations

$$\frac{1}{2} (2T - 2S)$$

no  $N \in \mathbb{R}^n$

⇒ tip-off that since all scales transfer at same rate, non-local transfer of enstrophy can occur

⇒ Corrections } [Logarithmic due to straining]

## - Inverse Energy

$\epsilon = v(\ell)^2 \frac{v(\ell)}{\ell}$ , as before but  $\epsilon \equiv$  energy dissipation rate

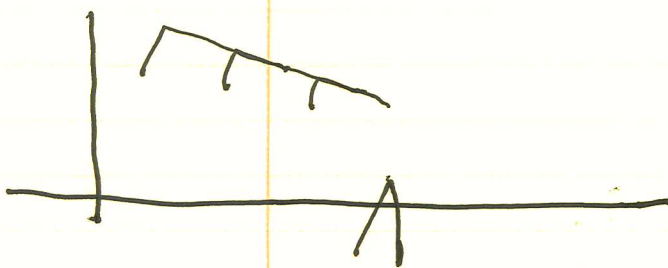
⇒

$E(k) = \epsilon^{2/3} k^{-5/3}$  ⇒ inverse energy cascade range

→ akin 3D, but upwards

→  $\frac{1}{2} \tau(\ell) \sim \frac{v(\ell)}{\ell}$  → cascade slows as larger scales approached.

→ not stationary state



largest scale slowest, keeps evolving

→ eventually encounters drag, boundary, etc.

(1)  $\neq$  top - off that a once all of these  
transfer at some rate, and then  
transfer of entropy and energy

$\rightarrow$  Correcting [Lagrange multipliers]  
minimize

- Inverse Energy

$$\tilde{E} = N(\tilde{E}), \quad \tilde{E} = N(\tilde{E})$$
  
but  $\tilde{E}$  is not a function of  $\tilde{E}$

(2)  $E(N) = E(N - \delta N)$   
Correcting for small changes

$\rightarrow$  as  $N \rightarrow 0$ ,  $\delta N$  negligible

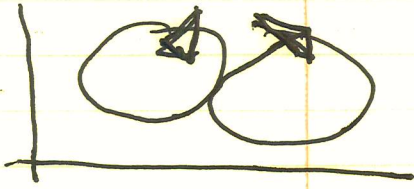
$\rightarrow$   $\tilde{E}(N) = N \tilde{E}$  - Correcting for  
as  $\delta N$  is not negligible

$\rightarrow$  not stationary state

in the case of a system  
with energy  $E$



$\rightarrow$  essentially equivalent  
to  $E = N \tilde{E}$



→ straining  
(non-local) effects  
in scale

→ structure of  
large scale

→ no inverse energy flux  
in energy range.

→ no forward energy flux,  
 $P_d$  by viscosity  $\rightarrow 0$ .  
↓  
dissipated power

→ so, where does the energy go?

- friction  $\mu$

- boundary effects

- straining on small scales

(Boffetta et al.  
posted)

→  $\langle d^3 u_{i,j}^2 \rangle \approx 3/2 \in l$

~ analogue of  $4/5$  for inverse  
energy range

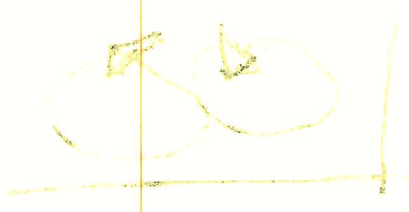
~ + for  $l > l_c$

~  $\in$  here is stirring rate

→ (static) vorticity contours in  
inverse energy range exhibit  
statistics of percolation cluster.

→ no "conventional" intermittency in inverse  
range. Deviations from Gaussian occur

→ static (fixed) components of energy  
→ dynamic components of energy



→ static components of energy  
→ dynamic components of energy

→ No energy transfer in steady state

→ No forward energy flux  
→ No net energy transfer  
→ No net energy transfer

→ No net energy transfer

- constant N
- constant energy
- constant entropy

→ static components of energy

→  $\langle E \rangle = \frac{1}{N} \sum E_i$

→ average energy per particle

→  $\langle E \rangle = \frac{1}{N} \sum E_i$

→ No net energy transfer

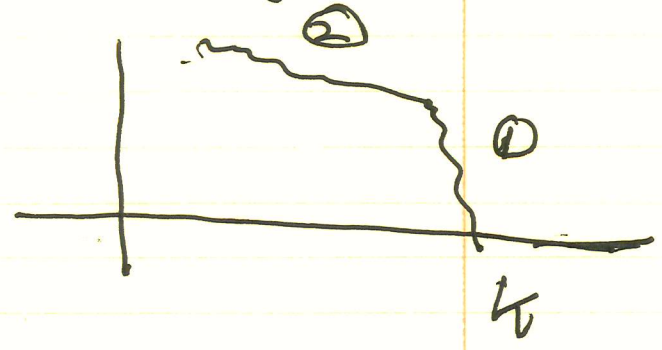
→ (static) components of energy  
→ (dynamic) components of energy

→ No conventional internal energy  
→ No conventional internal energy



→ what of particles, particle dispersion?

Revisiting Richardson:



$l_{1,2} \rightarrow$  energy range

$$\frac{d l_{1,2}}{d t} = v(l_{1,2}) = \epsilon^{1/3} l^{1/3}$$

$$l_{1,2}^2 \sim \epsilon t^3 ; \text{ as before}$$

$l_{1,2} \rightarrow$  enstrophy

$$\frac{d l}{d t} = v(\epsilon) = [\Omega \epsilon]^{1/2} l = \eta^{1/3} l$$

$\Rightarrow$  separation grows exponentially in enstrophy range

N.B.: Dual cascade used to justify selective decay - minimum enstrophy

$$\Omega = \int d^3x (V^2 \phi)^2 + \lambda \int d^3x (\nabla \phi)^2$$

$$\delta \Omega = 0.$$

What is vertical distance of a point?

Vertical distance:



Vertical distance =  $\int dx$

$$\frac{dh}{dx} = \frac{dy}{dx} = \frac{dy}{dx} = \frac{dy}{dx}$$

$$\int \frac{dh}{dx} dx = \int \frac{dy}{dx} dx = \int dy = y + C$$

Vertical distance =  $\int dx$

$$\int \frac{dh}{dx} dx = \int \frac{dy}{dx} dx = \int dy = y + C$$

Vertical distance =  $\int dx$

Vertical distance =  $\int dx$

$$\int \frac{dh}{dx} dx = \int \frac{dy}{dx} dx = \int dy = y + C$$

→  $\beta$ -Plane: Turbulence Waves  
Flows

Recall:

$$\partial_t \nabla^2 \phi + \nabla \phi \times \hat{z} \cdot \nabla \nabla^2 \phi - \nu \nabla^2 \nabla^2 \phi + \mu \nabla^2 \phi = -\beta v_y + \tilde{f}$$

Ignoring:  $\nu, \mu, \tilde{f}$

$$\partial_t \nabla^2 \phi + \nabla \phi \times \hat{z} \cdot \nabla \nabla^2 \phi = -\beta v_y$$

⇒ Waves

$$\omega_k = -\beta k_x / k^2, \quad v_y = \frac{2\beta k_x k_y}{(k^2)^2}$$

→ Rossby wave

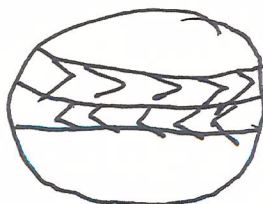
and

⇒ Flows

how does large scale order emerge?

$k_x \rightarrow 0$   
 $k_y$  finite  
 $\omega_k \rightarrow 0$

Zonal mode



Jets, belts, jet stream



2 new players → waves, flows.

Numerous questions:

② → how do zonal flows form? why? ⇒ many ways! ✓

① → how do {waves, flows} modify, interact with inverse cascade? ✓

③ → scale of zonal flows? ✓

④ → implications for atmospheric phenomenology

On zonal flows:

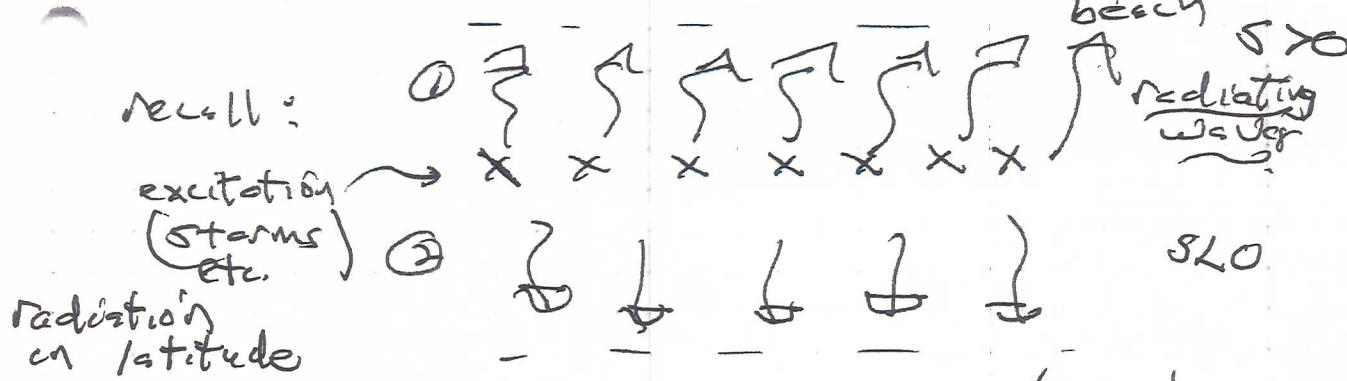
Reynolds stress



- ZFs ubiquitous
- Flows produced by momentum transport
- simplest perspective → wave propagation!

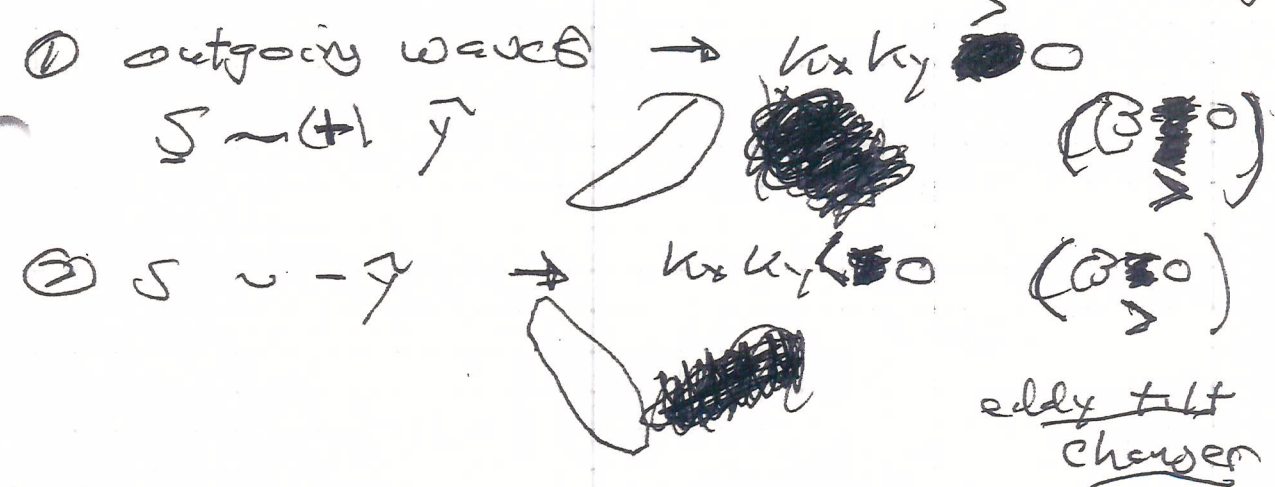


27. (Linear) wave propagation  
 can account for ZF formation



$$\underline{S} = v_{gy} \underline{\Sigma} \hat{y} = \frac{2k_x k_y B \underline{\Sigma}}{(k^2)^2} \hat{y}$$

beach (absorber) (useful cartoon)



but

$$\langle \tilde{v}_y \tilde{v}_x \rangle = \sum_k k_x k_y \text{Re} \tilde{u}^2$$

so

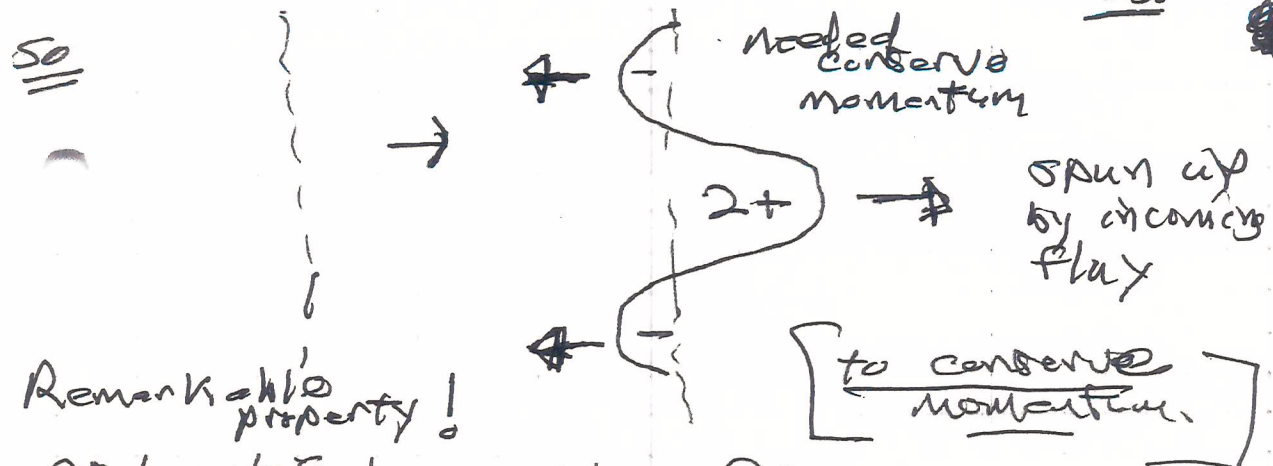
①  $\rightarrow \pi_{y,x} < 0$

②  $\rightarrow \pi_{y,x} > 0$

point:  
 outgoing wave energy density flux generates incoming momentum flux







Remarkable property!

→ beautiful example of:

... "the central result that a rapidly rotating flow, when stirred in a localized region, will converge angular momentum

into this region " (stirring → spin-up)

Isaac Held ('01)

Flows ↔ energy stirring

→ wave mechanism of excitation and required separation and dissipation (back) regions.

→ Requires:

- waves

- vorticity/momentum transport in space

\* → irreversibility → outgoing waves

→ symmetry breaking, Δ has direction

- sep. forcing/damping



⇒ Useful to investigate wave

theorems for flow production

→ something (generators)

→ Key observation:  
(inhomogeneous PV mixing)

$$\langle \tilde{u}_y \tilde{z} \rangle_z \Rightarrow \text{zonal PV}$$

$$= \langle \tilde{u}_y \sigma^2 \phi \rangle_z$$

$$= \langle (\partial_x \phi) (\partial_x^2 \phi + \partial_y^2 \phi) \rangle_x$$

PV Flux

$$\overline{Q} = \overline{\sigma y} + \overline{\sigma^2 \phi}$$

Why?

recall essence of PV conservation force planetary-flow vorticity exchange.

but:  $\langle \partial_x \phi \partial_x^2 \phi \rangle = \langle \partial_x \left[ \frac{(\partial_x \phi)^2}{2} \right] \rangle_x = 0$   
 symmetry ↓

$$\langle \tilde{u}_y \tilde{z} \rangle_z = - \langle (\partial_x \phi) \partial_y^2 \phi \rangle_x$$

$$= - \partial_y \langle \partial_x \phi \partial_y \phi \rangle_x + \langle \partial_x^2 \phi \partial_y \phi \rangle_x$$

$$= \partial_y \langle \tilde{u}_y \tilde{u}_x \rangle_x$$

$$\langle \partial_x (\partial_y \phi)^2 \rangle_x = 0$$

Taylor Identity

$$\langle \tilde{u}_y \tilde{z} \rangle_z = \partial_y \langle \tilde{u}_y \tilde{u}_x \rangle_x$$

(comment 3D) - EP.

z dropped hereafter.

→ Reynolds force drives flow!

⇒ Look at potential enstrophy balance



⇒ Zonally averaged Latitudinal 30  
 PV Flux = zonally averaged

Latitudinal Reynolds force → drives flow.

As Reynolds stress controls flow:

i.e.

$$\rho \left( \frac{\partial \underline{U}_x}{\partial t} + \underline{U} \cdot \nabla \underline{U}_x \right) = -\cancel{\partial_y P} - \cancel{(2 \rho \underline{\Omega} \times \underline{U})_x}$$

$\downarrow$   
 curved → geostrophic balance

$$\rho \left[ \frac{\partial \langle \underline{U}_x \rangle}{\partial t} = -\partial_y \langle \tilde{U}_y \tilde{U}_x \rangle + \nu \partial_y^2 \langle U_x \rangle \right]$$

$\sim \langle U_x \rangle$

then PV evolution } necessarity  
 Potential Enstrophy }  
control flow.

⇒ What are essential to ZF generation:

- inhomogeneous PV mixing/transport in SPEC
- translation symmetry in direction of the flow.



Now, consider P.E balance:

3/2  
~~3/2~~  
 (Forcing)

$$\frac{d}{dt} \mathcal{E} - \nu \nabla^2 \mathcal{E} = 0$$

$$\frac{\partial \tilde{\mathcal{E}}}{\partial t} + \mathcal{U} \nabla \tilde{\mathcal{E}} - \nu \nabla^2 \tilde{\mathcal{E}} = -\tilde{U}_y \frac{d\langle \mathcal{E} \rangle}{dy}$$

Potential enstrophy evolution

or

$$\frac{\partial}{\partial t} \left\langle \frac{\tilde{\mathcal{E}}^2}{2} \right\rangle + \partial_y \left\langle \tilde{U}_y \frac{\tilde{\mathcal{E}}^2}{2} \right\rangle + \nu \left\langle (\nabla^2 \tilde{\mathcal{E}})^2 \right\rangle$$

Flux of potential enstrophy

$\uparrow$   
 $\nu \Omega \rightarrow$   
 dissipation

$$= -\left\langle \tilde{U}_y \tilde{\mathcal{E}} \right\rangle \frac{d\langle \mathcal{E} \rangle}{dy}$$

$\uparrow$   
 potential enstrophy production,  
 (flux - gradient)

$$\left( \frac{d\langle \mathcal{E} \rangle}{dy} \right)^{-1} \left[ \frac{\partial}{\partial t} \left\langle \frac{\tilde{\mathcal{E}}^2}{2} \right\rangle + \partial_y \left\langle \tilde{U}_y \frac{\tilde{\mathcal{E}}^2}{2} \right\rangle + \nu \left\langle (\nabla^2 \tilde{\mathcal{E}})^2 \right\rangle \right]$$

$$= -\left\langle \tilde{U}_y \tilde{\mathcal{E}} \right\rangle = -\left\langle \tilde{U}_y \sigma^2 \tilde{\phi} \right\rangle$$

but Mean (zonal) flow

$$\frac{\partial \langle U_x \rangle}{\partial t} = -\frac{\partial}{\partial y} \left\langle \tilde{U}_y \tilde{\sigma}_x \right\rangle = \mu \langle U_x \rangle$$

$$= -\left\langle \tilde{U}_y \sigma^2 \tilde{\phi} \right\rangle = \mu \langle U_x \rangle$$





$$\langle \bar{u}_y \bar{v}^2 \rangle = (\partial_t \langle u_x \rangle + u \langle \bar{v}^2 \rangle)$$

WAD

$$\partial_t \left\{ \langle u_x \rangle + \frac{\langle \bar{v}^2 \rangle}{2} \right\} = -v \frac{\langle \bar{v}^2 \rangle}{dk/dy} - \partial_y \langle \bar{v}^2 \rangle / 2 - u \langle \bar{v}^2 \rangle$$

Wave Activity Density

WAD

pseudomomentum

$$\partial_t \left\{ \langle u_x \rangle - \frac{-k_x \langle \bar{v}^2 \rangle}{2k_x dk/dy} \right\} = -u \langle u_x \rangle - \delta \langle \bar{v}^2 \rangle / \frac{dk/dy}{dy}$$

- absent
- drag
  - damping
  - mixing (3rd order)

Flow locked to wave momentum density  $\delta$  (Cherry - Drzon) Thm.

non-acceleration thm!

ZF's ~~AD~~ wave momentum density

Cannot accelerate (or maintain us drag) zonal flow without changing (absorbing) wave intensity.



Note: 
$$\frac{-k_x \langle \tilde{z}^2 \rangle}{2 k_x d\langle \tilde{z} \rangle / dy}$$

$\tilde{z} = \nabla^2 \phi + \beta y$   
 absent mean flow,

$$\frac{d\langle \tilde{z} \rangle}{dy} = \beta$$

$$\langle \frac{\tilde{z}^2}{2} \rangle = k^2 \epsilon$$

$$\frac{-k_x k^2 \epsilon}{k_x \frac{d\langle \tilde{z} \rangle}{dy}} = \frac{k_x \epsilon}{\frac{-k_x \Omega}{k^2}} = \frac{k_x \epsilon}{\omega_H}$$

$\rightarrow$  Action Density  
 $= k_x N_H$

$= \rho_w$  i.e. aka  
 wave momentum density, Adiabatic Invariant

$\Rightarrow$

$$\partial_t \{ \langle U_x \rangle - \rho_w \} = -\eta \langle U_x \rangle - \sigma \langle \tilde{z}^2 \rangle / \frac{d\langle \tilde{z} \rangle}{dy} + \dots$$

$$\frac{\mu}{\hbar} \sim \frac{v(e)}{e} \sim e^{\frac{1}{3}} \frac{\hbar^{-2/3}}{l}$$

$$l^{\frac{2}{3}} \sim \frac{\mu}{\hbar^2} \sim e^{\frac{1}{3}} / \mu$$

$$\underline{e \sim e^{\frac{1}{3}} / \mu^{\frac{3}{2}} \ll L}$$